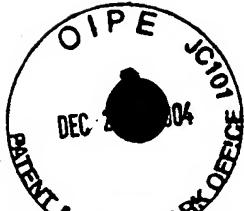


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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: LENDLEIN *et al.*

Examiner: To be assigned

Serial No.: 10/510,889

Group Art Unit: To be assigned

Filed: October 8, 2004

For: Method of Treating Hair Using Shape-Memory Polymers

Customer No.: 23719

Kalow & Springut LLP  
488 Madison Avenue, 19th Floor  
New York, New York 10022

December 16, 2004

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

SIR:

In accordance with 37 C.F.R. §§ 1.56 and 1.97 through 1.98, Applicants wishes to make known to the Patent and Trademark Office the references set forth on the attached form PTO SB 08.

Copies are provided of the references that are not U.S. patents or published applications. As to any cited references, Applicants do not admit that they are "prior art" under 35 U.S.C. §§ 102 or 103, and specifically reserve the right to traverse or antedate any such reference, as by a showing under 37 C.F.R. § 1.131 or any other method. Although the aforesaid references are made known to the Patent and Trademark Office in compliance with Applicants' duty to disclose all information of which they are aware and believe relevant to the examination of the above-identified application, Applicants believe that their invention is patentable.

Certificate of Mailing Under 37 C.F.R. 1.8

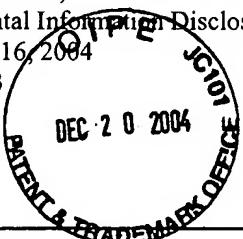
I hereby certify that this correspondence is being deposited on the date shown below with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

  
(Signature)

Angel Mato  
(Printed Name of Person Signing Certificate)

12/16/04  
(Date)

Applicant: LENDLEIN, *et al.*  
Serial No.: 10/510,889  
Filed: October 8, 2004  
Supplemental Information Disclosure Statement  
December 16, 2004  
Page 2 of 3



## **I. U.S. PATENT DOCUMENTS**

Patent No.	Date Issued	Title
5,155,199	October 13, 1992	Makeup Material for Human Use
5,442,037	August 15, 1995	Polyester Prepolymer Showing Shape Memory Effect
5,098,776	March 24, 1992	Shape Memory Fibrous sheet and Method of Imparting shape Memory Property to Fibrous sheet Product

## **II. FOREIGN PATENT DOCUMENTS**

<b>Publication No.</b>	<b>Country</b>	<b>Publication Date</b>
DE 689 07 553 T2	Germany	May 9, 1990
DE 694 26 882 T2	Germany	April 4, 1996
DE 689 08 081 T2	Germany	May 5, 1990

The above listed German references were cited during examination of the German application DE 102 15 858.4, which is the priority application for this US national stage patent application filed under 35 USC § 371. Applicants provide copies of the German language references and, for the convenience of the Examiner, Applicants list below English language family members of the cited German references.

<b>English Language Family Members of German Language References</b>	
DE 689 07 553 T2	US 05155199
DE 694 26 882	US 05442037
DE 689 08 081	US 05098776

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Applicants herewith provide information to the Examiner regarding two pending U.S. national stage patent applications that claim priority to DE 102 15 858.4, an application to which the instant application claims priority. The corresponding published international applications have been provided to the Examiner with the information disclosure statement of October 8, 2004.

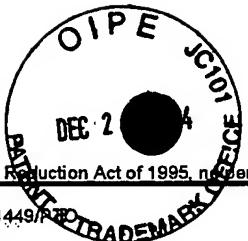
US Serial No.	Title	Corresponding PCT Application No. (int'l filing date)	Priority Application (filing date)
10/510,889 (this application)	Method of Achieving a Shape Memory Effect on Hair Using Cationic Agents	PCT/EP03/03733 (10 April 2003)	DE 102 15 858.4 (10 April 2002)  DE 102 28 120.3 (24 June 2002)
10/510,873	Method of Treating Hair Using Shape-Memory Polymers	PCT/EPO3/03735 (10 April 2003)	DE 102 15 858.4 (10 April 2002)
10/511,019	Method of Achieving a Shape-Memory Effect on Hair	PCT/EP03/03734 (10 April 2003)	DE 102 15 858.4 (10 April 2002)  DE 102 28 120.3 (24 June 2002)

Because no action has been taken on the merits, Applicants submit that no fee is due at this time. However, if a fee is deemed necessary, please charge Deposit Account No. 11-0171.

Respectfully submitted,

  
Ter Smealand  
Registration No.: 43,131  
Attorney for Applicant

Kalow & Springut LLP  
(212) 813-1600



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## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

**(Use as many sheets as necessary)**

Sheet

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of

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**Complete if Known**

Application Number	10/510,889
Filing Date	October 8, 2004
First Named Inventor	LENDLEIN <i>et al.</i>
Art Unit	To be Assigned
Examiner Name	To be Assigned

Attorney Docket Number 13632 PCTUS

**U. S. PATENT DOCUMENTS**

## **FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
		DE 689 07 553 T2	10-17-1989	Mitsubishi Jukogyo K.K.		
		DE 694 26 882 T2	10-06-1994	Tongyang Nylon Co., LTD.,		
		DE 689 08 081 T2	10-20-1989	Mitsubishi Jukogyo K.K.		

<b>Examiner Signature</b>		<b>Date Considered</b>	
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**'EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbol(s) as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.